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SUBJ/COMMUNICATIONS SECURITY (COMSEC) AND INFORMATION SYSTEMS

MONITORING REQUIREMENTS//

REF/A/DOC/NTISSD/10APR90//

REF/B/DOC/SECNAV/08SEP82//

REF/C/DOC/NTISSD/05FEB93

REF/D/DOC/GCDOD/27MAR97//

NARR/REF A IS NTISSD NO.600 NATIONAL COMSEC MONITORING INSTRUCTION.

REF B IS SECNAVINST 3264.1 COMSEC TELMON & RECORDING.

REF C IS NTISSD NO. 502 NATIONAL SECURITY TELECOMMUNICATIONS AND

AUTOMATED INFORMATION SYSTEMS SECURITY. REF D IS GENERAL COUNSEL OF

THE DEPARTMENT OF DEFENSE MEMORANDUM FOR COMMUNICATIONS SECURITY AND

INFORMATION SYSTEMS MONITORING//

RMKS/1. SUMMARY. THIS MESSAGE PROVIDES POLICY GUIDANCE ON COMSEC

AND INFORMATION SYSTEMS MONITORING RESTRICTIONS, INCLUDING PRIOR

CONSENT AND NOTIFICATION REQUIREMENTS/PROCEDURES AND AUTHORITY FOR

CONDUCTING COMSEC AND INFORMATION SYSTEMS MONITORING. REQUEST WIDEST

DISSEMINATION.

2. BACKGROUND. REFS A AND B PROVIDE POLICY FOR CONDUCTING COMSEC AND INFORMATION SYSTEMS MONITORING ACTIVITIES. PRESENT POLICY EXTRACTS ARE AS FOLLOWS:

- A. THE GENERAL COUNSEL OF THE NAVY MUST DETERMINE THAT SUFFICIENT NOTICE HAS BEEN GIVEN WITHIN THE USN/USMC THAT USE OF OFFICIAL U.S. GOVERNMENT COMPUTER AND TELECOMMUNICATIONS SYSTEMS OR FACILITIES CONSTITUTES CONSENT TO COMSEC MONITORING.
  - B. CNO SHALL PROVIDE A BIENNIAL REPORT TO THE GENERAL COUNSEL OF THE NAVY OF ACTION TAKEN WITHIN THE DEPARTMENT OF THE NAVY (DON) TO NOTIFY USERS OF GOVERNMENT COMPUTER AND TELECOMMUNICATION SYSTEMS OF COMSEC MONITORING AND CONSENT TO MONITORING.
  - C. INDIVIDUAL COMSEC MONITORING OPERATIONS SHALL BE AUTHORIZED ONLY FOR A REASONABLE PERIOD OF TIME NOT TO EXCEED ONE YEAR.
3. DEFINITIONS. THE FOLLOWING DEFINITIONS FROM REFS A AND C ARE PROVIDED FOR A COMMON FRAMEWORK:

- A. AUTOMATED INFORMATION SYSTEMS: ANY EQUIPMENT OR

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INTERCONNECTED SYSTEM OR SUBSYSTEMS OF EQUIPMENT THAT IS USED IN THE AUTOMATED ACQUISITION, STORAGE, MANIPULATION, MANAGEMENT, MOVEMENT, CONTROL, DISPLAY, SWITCHING, INTERCHANGE, TRANSMISSION, OR RECEPTION OF DATA AND INCLUDES COMPUTER SOFTWARE, FIRMWARE, AND HARDWARE.

- B. TELECOMMUNICATION: THE PREPARATION, TRANSMISSION, COMMUNICATION, OR RELATED PROCESSING OF INFORMATION (WRITING, IMAGE, SOUNDS, OR OTHER DATA) BY ELECTRICAL, ELECTROMAGNETIC,

ELECTROMECHANICAL, ELECTRO-OPTICAL, OR ELECTRONIC MEANS.

C. TELECOMMUNICATIONS SYSTEMS: THE INTERCONNECTED DEVICES USED TO TRANSMIT AND/OR RECEIVE COMMUNICATIONS OR PROCESS TELECOMMUNICATIONS; THE DEVICES MAY BE ELECTRICAL, ELECTROMAGNETIC, ELECTROMECHANICAL, OR ELECTRO-OPTICAL.

4. ACTION. ADDEES WILL ENSURE CONTINUED COMPLIANCE WITH REQUIREMENTS TO:

A. GENERAL. NOTIFY ALL MILITARY AND CIVILIAN COMMAND PERSONNEL ON A MINIMUM OF A QUARTERLY BASIS THROUGH NOTICES IN THE PLAN OF THE DAY OR OTHER SIMILAR PUBLICATIONS THAT DISCUSSION OF CLASSIFIED INFORMATION OVER NONSECURE COMMUNICATIONS IS PROHIBITED, THAT OFFICIAL GOVERNMENT TELECOMMUNICATIONS SYSTEMS AND FACILITIES, PAGE 04 RUENAAA8977 UNCLAS INCLUDING OFFICIAL DOD COMPUTERS, TELEPHONES, COMPUTER SYSTEMS, AND TELEPHONE SYSTEMS, ARE SUBJECT TO COMSEC MONITORING AT ALL TIMES, AND THAT USE OF SUCH SYSTEMS, FACILITIES, COMPUTERS OR TELEPHONES CONSTITUTES CONSENT TO COMSEC MONITORING. THE IMPORTANCE OF PROVIDING ADEQUATE NOTICE HAS BEEN HEIGHTENED BY THE SECOND AMENDMENT OF THE JOINT ETHICS REGULATION WHICH HAS RELAXED PRIOR RESTRICTIONS ON THE USE OF DOD TELECOMMUNICATIONS SYSTEMS FOR PERSONAL USE. REF D ARTICULATES THE ALLOWABLE PERSONAL USE OF DOD SYSTEMS AND STIPULATES THAT PERMITTED PERSONAL USE OF THESE SYSTEMS IS SUBJECT TO MONITORING. IN ADDITION, INCORPORATE THE ABOVE INFORMATION IN ORIENTATION BRIEFINGS FOR ALL NEWLY JOINED/HIRED PERSONNEL.

B. OFFICIAL COMPUTER/COMPUTER SYSTEMS. ALL DON COMPUTERS AND COMPUTER SYSTEMS ARE REQUIRED TO DISPLAY AN APPROVED LOGON WARNING

BANNER THAT SERVES TO PROVIDE THIS NOTIFICATION OF COMSEC MONITORING AND CONSENT TO COMSEC MONITORING. THE LEGALLY APPROVED LOGON BANNER TO BE USED IS AS FOLLOWS: "THIS IS A DEPARTMENT OF DEFENSE COMPUTER SYSTEM. THIS COMPUTER SYSTEM, INCLUDING ALL RELATED EQUIPMENT, NETWORKS AND NETWORK DEVICES (SPECIFICALLY INCLUDING INTERNET ACCESS), ARE PROVIDED ONLY FOR AUTHORIZED U.S. GOVERNMENT USE. DOD COMPUTER SYSTEMS MAY BE MONITORED FOR ALL LAWFUL PURPOSES, INCLUDING

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TO ENSURE THAT THEIR USE IS AUTHORIZED, FOR MANAGEMENT OF THE SYSTEM, TO FACILITATE PROTECTION AGAINST UNAUTHORIZED ACCESS, AND TO VERIFY SECURITY PROCEDURES, SURVIVABILITY AND OPERATIONAL SECURITY.

MONITORING INCLUDES ACTIVE ATTACKS BY AUTHORIZED DOD ENTITIES TO TEST OR VERIFY THE SECURITY OF THIS SYSTEM. DURING MONITORING, INFORMATION MAY BE EXAMINED, RECORDED, COPIED AND USED FOR AUTHORIZED PURPOSES. ALL INFORMATION, INCLUDING PERSONAL INFORMATION, PLACED ON OR SENT OVER THIS SYSTEM MAY BE MONITORED. USE OF THIS DOD COMPUTER SYSTEM, AUTHORIZED OR UNAUTHORIZED, CONSTITUTES CONSENT TO MONITORING OF THIS SYSTEM. UNAUTHORIZED USE MAY SUBJECT YOU TO CRIMINAL PROSECUTION. EVIDENCE OF UNAUTHORIZED USE COLLECTED DURING MONITORING MAY BE USED FOR ADMINISTRATIVE, CRIMINAL OR ADVERSE ACTION. USE OF THIS SYSTEM CONSTITUTES CONSENT TO MONITORING FOR THESE PURPOSES."

C. OFFICIAL TELEPHONE/TELEPHONE SYSTEMS.

(1) PROMINENTLY DISPLAY FOLLOWING STATEMENT ON FRONT COVER OF ALL OFFICIAL USN/USMC COMMAND/AREA TELEPHONE DIRECTORIES (FIRST TWO SENTENCES IN CAPS AND UNDERLINED): "DO NOT DISCUSS CLASSIFIED

INFORMATION ON NONSECURE TELEPHONES. OFFICIAL DOD TELEPHONES ARE  
SUBJECT TO MONITORING FOR COMMUNICATIONS SECURITY PURPOSES AT ALL  
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TIMES. DOD TELEPHONES ARE PROVIDED FOR THE TRANSMISSION OF OFFICIAL  
GOVERNMENT INFORMATION AND ARE SUBJECT TO COMMUNICATIONS SECURITY  
MONITORING AT ALL TIMES. USE OF OFFICIAL DOD TELEPHONES CONSTITUTES  
CONSENT TO COMSEC TELEPHONE MONITORING."

(2) ATTACH DECALS TO THE LOWER FRONT PORTIONS OF NONSECURE  
TELEPHONES, WHICH STATE: "DO NOT DISCUSS CLASSIFIED INFORMATION.  
THIS TELEPHONE IS SUBJECT TO MONITORING AT ALL TIMES. USE OF THIS  
TELEPHONE CONSTITUTES CONSENT TO MONITORING."

D. USE THE INFORMATION IN PARA 4A ABOVE IN APPROPRIATE TRAINING  
PROGRAMS IN SUCH A MANNER THAT ALL COMMUNICATIONS PERSONNEL, CIRCUIT  
OPERATORS, SYSTEM ADMINISTRATORS AND USERS OF GOVERNMENT COMPUTER,  
TELEPHONE, TELECOMMUNICATIONS SYSTEMS AND COMMUNICATIONS CIRCUITS  
ON WHICH THEY OPERATE ARE AWARE THAT THEY ARE SUBJECT TO COMSEC  
MONITORING, AND THAT OPERATION OF THESE SYSTEMS CONSTITUTES CONSENT  
TO MONITORING.

#### 5. AUTHORIZATION.

A. BASED ON GENERAL COUNSEL OF THE NAVY DETERMINATION, FLTCINC  
AUTHORITY TO APPROVE COMSEC MONITORING REQUESTS FOR COMMANDS WITHIN  
THE FLTCINC CHAIN OF COMMAND IS CONTINUED UNTIL 17 APR 99.  
COMNAVSECGRU AUTHORITY TO APPROVE REQUESTS FROM COMMANDERS/COMMANDING  
OFFICERS WHOSE REGULAR REPORTING SENIOR IS NOT WITHIN THE FLTCINC  
CHAIN OF COMMAND IS ALSO CONTINUED UNTIL 17 APR 99.

B. IN ADDITION TO ABOVE, FLTCINCS MAY CONTINUE TO AUTHORIZE

SUBORDINATE COMMANDERS/COMMANDING OFFICERS TO APPROVE AND CONDUCT TACTICAL COMSEC MONITORING OPERATIONS WITHIN THEIR RESPECTIVE COMMANDS FOR PERIODS NOT TO EXCEED ONE (1) YEAR. TACTICAL COMSEC MONITORING IS DEFINED AS LISTENING TO, COPYING OR RECORDING, BY ANY MEANS THE CONTENT OF OFFICIAL COMMUNICATIONS FROM, TO OR BETWEEN ANY SHIP, AIRCRAFT, AND SHORE ELEMENTS IN A TACTICAL ENVIRONMENT, NOT INCLUDING TELEPHONE COMMUNICATIONS, TO DETERMINE THE DEGREE OF SECURITY BEING PROVIDED THOSE COMMUNICATIONS.

C. COMSEC TELEPHONE MONITORING (TELMON): WITHIN THE FLTCINC CHAIN, COMSEC TELMON MAY BE INITIATED BY THE FLTCINC. INDIVIDUAL COMMANDS SUBMIT REQUESTS FOR COMSEC TELMON SERVICES VIA OPERATIONAL CHAIN OF COMMAND TO FLTCINC/COMNAVSECGRU, AS

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APPROPRIATE. A SENIOR MAY REQUEST COMSEC TELMON OF SUBORDINATE ELEMENTS. ECHELON TWO COMMANDERS, OR COMMANDERS OUTSIDE OF THE FLTCINC CHAIN, MAY COORDINATE WITH THE FLTCINC VIA COMNAVSECGRU TO PROVIDE TELMON OPERATIONS ON THEIR SUBORDINATES WITHIN A FLTCINC AREA OF RESPONSIBILITY. FOLLOWING APPROVAL, FLTCINCS/COMNAVSECGRU WILL ISSUE TASKING DIRECTIVES FOR SPECIFIC COMSEC TELMON OPERATIONS.

D. COMSEC MONITORING OTHER THAN TELEPHONES: SUBMIT REQUESTS FOR CONDUCT OF COMSEC AND INFORMATION SYSTEMS MONITORING OF DON TELECOMMUNICATIONS SYSTEMS SUCH AS ASSOCIATED AUTOMATED INFORMATION SYSTEMS AND TACTICAL NETS, VIA OPERATIONAL CHAIN OF COMMAND TO FLTCINCS/COMNAVSECGRU AS APPROPRIATE, FOR APPROVAL. GUIDELINES OF PARA 5C ALSO APPLY.

6. COMSEC MONITORING WITHIN DON WILL BE CONDUCTED ONLY BY AUTHORIZED

PERSONNEL WHO ARE TRAINED PROPERLY IN LEGALLY APPROVED COMSEC  
MONITORING PROCEDURES. ISSUANCE OF APPROPRIATE COMSEC MONITORING  
GUIDANCE AND PROCEDURES TO ENSURE COMPLIANCE WITH REF B IS THE  
RESPONSIBILITY OF COMNAVSECGRU.

7. A COMSEC MONITORING EFFORT TO RECOVER TEXT FROM ENCRYPTED DON  
TRAFFIC SHALL NOT BE UNDERTAKEN WITHOUT SPECIFIC PRIOR APPROVAL OF  
THE USING COMMANDER/COMMANDING OFFICER.

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8. SUMMARY. COMMUNICATIONS SECURITY AND INFORMATION SYSTEMS  
MONITORING WILL BE CONDUCTED IN ACCORDANCE WITH ALL APPLICABLE  
REFERENCES AND THIS ALCOM.

9. THIS MESSAGE HAS BEEN COORDINATED WITH CMC.

10. RELEASED BY VADM CEBROWSKI, USN.//

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